

TRANSMITTAL LETTER
(General - Patent Pending)

Docket No.
14730

In Re Application Of: Tracy Ann Willson, et al.

Serial No.
09/868,813

Filing Date
June 21, 2001

Examiner
Unassigned

Group Art Unit
Unassigned

Title:

SOCS-BOX CONTAINING PEPTIDES

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

Response to Notification of Defective Response, Copy of Notification, Substitute Paper Copy of Sequence Listing,
Substitute Computer Readable Form of Sequence Listing on Disk and Statement Under 37 C.F.R. 1.821(f)

BEST AVAILABLE COPY

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

DISK TO STIC

DATE:

Dated: May 24, 2002


Signature

Frank S. DiGiglio
Registration No. 31,346
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400 Garden City Plaza
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CC:

I certify that this document and fee is being deposited
on May 24, 2002 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.


Signature of Person Mailing Correspondence

Janet Grossman

Typed or Printed Name of Person Mailing Correspondence



PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tracy Ann Willson, et al.

Examiner: Unassigned

Serial No.: 09/868,813

Art Unit: Unassigned

Filed: June 21, 2001

Docket: 14730

For: SOCS-BOX CONTAINING PEPTIDES

Dated: May 24, 2002

Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE

Sir:

In response to the Notification of Defective Response dated April 24, 2002, and in accordance with the provisions in 37 C.F.R. §1.821, Applicants submit herewith a substitute paper and a substitute computer readable copy of the Sequence Listing, along with a Statement Under 37 C.F.R. §1.821(f), stating that these copies are identical. A copy of the Notification of Defective Response is also enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 24, 2002.

Dated: May 24, 2002

Janet Grossman
Janet Grossman

By way of the substitute Sequence Listing, Applicants have provided a description in field <223> for the artificial sequences as set forth in SEQ ID NOS: 1-3. More specifically, the artificial sequence as set forth in SEQ ID NO: 1 is a FLAG epitope tag, as disclosed in the specification at page 30, line 6. The artificial sequence as set forth in SEQ ID NO: 2 is a *myc* epitope tag, as disclosed in the specification at page 30, line 10. The artificial sequence as set forth in SEQ ID NO: 3 is a linker sequence, as disclosed in the specification at page 30, line 23. No new matter is introduced.

Respectfully submitted,



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FSD/XZ:ab



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/868,813	Tracy Ann Wilson	14730

INTERNATIONAL APPLICATION NO.

PCT/AU99/01134

I.A. FILING DATE	PRIORITY DATE
12/21/1999	12/21/1998

Leopold Presser
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 Garden City, NY 11530

CONFIRMATION NO. 6320

371 FORMALITIES LETTER



OC000000007932607

Date Mailed: 04/24/2002

NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- U.S. Basic National Fee
- Priority Document
- Biochemical Sequence Diskette
- Biochemical Sequence Listing
- Copy of the International Application
- Copy of the International Search Report
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

The following items **MUST** be furnished within the period set forth below:

- The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
 - APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.

- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase PatentIn Software, call (703) 306-2600
 - For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAREN M WILLIAMS

Telephone: (703) 305-3688

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/868,813	PCT/AU99/01134	14730

FORM PCT/DO/EO/916 (371 Formalities Notice)